Sheet	1	of	1	

Sposmute form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14170-037002	Application No. 09/884,859	
by Applicant		Applicant Hugh R. Sharkey et al.		
(Use several sh	eets if necessary)	Filing Date June 18, 2001	Group Art Unit 3739	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	BE(ENED	Filing Date If Appropriate
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	AE	2002/0188292	12/12/2002	Sharkey et al.			
4	AF	6,638,276	10/28/2003	Sharkey et al.			
	AG						
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	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)					
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Sheet <u>1</u> of <u>8</u>

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14170-037002	Application No. 09/884,859	
(Use several sheets if necessary)		Applicant Hugh R. Sharkey et al.		
		Filing Date June 18, 2001	Group Art Unit 3739	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	/ AC	5,599,346	2/4/97	Edwards et al.			
	AD	5,267,994	12/7/93	Gentelia et al.			
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	AG	5,242,439	9/7/93	Larsen et al.			
	AH	5,437,661	8/1/95	Rieser			
	AI	5,201,731	4/13/93	Hakky			
V	AJ	5,498,258	3/12/96	Hakky et al.	<u> </u>		
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	AM	5,782,795	7/21/98	Bays			
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,	AQ	4,590,934	5/27/86	Malis et al.			
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	ATT	3,178,728	4/20/65	Christensen			
	AV	3,579,643	5/25/71	Morgan			
<u> </u>	AW	3,776,230	12/4/73	Neefe	·		
	AX	3,867,728	2/25/75	Substad et al.			
	AY	3,879,767	4/29/75	Substad			
7	AZ	3,886,600	6/3/75	Kahn et al.			

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	(Kan N Lybson	Mar. 5, 2004
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	next communication to applicant.	
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Sheet 2 of 8

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14170-037002	Application No. 09/884, 359	
(Use several sheets if necessary)		Applicant Hugh R. Sharkey et al.		
		Filing Date June 18, 2001	Group Art Unit 3739	

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(D)	BB	3,987,499	10/26/76	Scharbach et al.
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	·BC	3,992,725		
V	BD	4,043,342	8/23/77	Morrison, Jr.
. •	BE	4,085,466	4/25/78	Goodfellow et al.
_ b		4,129,470	12/12/78	Homsy .
	₽ BG	4,224,696	9/30/80	Murray et al.
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	BN	4,397,314	8/9/83	Vaguine
	ВО	4,483,338	11/20/84	Bloom et al.
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	BR	4,593,691	6/10/86	Lindstrom et al.
	BS	4,597,379	7/1/86	Kihn et al.
	BT	4,811,733	3/14/89	Borsanyi et al.
	BU	4,873,976	10/17/89	Schreiber
	√ BV	`4,894,063	1/16/90	Nashef
	√ BW	4,895,148	1/23/90	Bays et al.
	/ BX	4,907,585	3/13/90	Schachar
	BY	4,907,589	3/13/90	Cosman
4	BZ	4,924,865	5/15/90	Bays et al.

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Sheet <u>3</u> of <u>8</u>

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office			Attorney's Docket No. 14170-037002	Application No. 09/884,359
	n Disclosure Sta by Applicant	atement	Applicant Hugh R. Sharkey et	al.
	(Use several sheets if necessary)			Group Art Unit
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✓ CB	4,950,234	8/21/90	Fujioka	
, cc	4,966,597	10/30/90	Cosman	
J CD	4,976,709	12/11/90	Sand	
✓ CE	4,976,715	12/11/90	Bays et al.	
✓ CF	4,998,933	3/12/91	Eggers et al.	
√ CG	5,007,908	4/16/91	Rydell	
CH	5,009,656	4/23/91	Reimels	
A CI	5,100,402	3/31/92	Fan	
√ CJ	5,103,804	4/14/92	Abele et al.	
√ CK	5,178,620	1/12/93	Eggers et al.	
√ CL	5,191,883	3/9/93	Lennox et al.	
✓ CM	5,201,730	4/13/93	Easley et al.	
CN	5,213,097	5/25/93	Zeindler	
v co	5,230,334	7/27/93	Klopotek	
CP CP	5,242,441	9/7/93	Avitall	
1 cq	5,261,906	11/16/93	Pennino et al.	
✓ CR	5,275,151	1/4/94	Shockey et al.	
→ cs	5,284,479	2/8/94	de Jong	·
√ CT	5,304,169	4/19/94	Sand	
CU	5,311,858	5/17/94	Adair	
v cv	5,320,115	6/14/94	Kenna	
√ cw	5,323,778	6/28/94	Kandarpa et al.	
√ cx	5,334,193	8/2/94	Nardella	
· ✓ CY	5,348,554	9/20/94	Imran et al.	
CZ CZ	5,366,443	11/22/94	Eggers et al.	

Examiner Signature Roy D. Libson	Date Considered Man, 5, 2004
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	Substitute Disclosure Form (PTO-1449)

Sheet 4 of 8

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14170-037002	Application No. 09/884,859	
by Applicant (Use several sheets if necessary)		Applicant Hugh R. Sharkey et al.		
		Filing Date June 18, 2001	Group Art Unit 3739	

(ST CFR 91.5	-,,,,,						
RUTV	DA	5,458,569	10/17/95	Lax et al.		· · · · · ·	
1	DB	5,465,737	11/14/95	Schachar		· 	
	DC	5,484,403	1/16/96	Yoakum et al.			
V	, DD	5,484,432	1/16/96	Sand		· .	
	DE	5,484,435	1/16/96	Fleenor et al.			
	DF	5,507,812	4/16/96	Moore	<u>.</u>		
	DG	5,514,130	5/7/96	Baker			
	DH	5,542,920	8/6/96	Cherif Cheikh			
	/ DI	5,569,242	10/29/96	Lax et al.			
	DJ	2,090,923	8/24/37	Wappler	·		
	/ DK	3,856,015	12/24/74	Iglesias			
	DL	3,945,375	3/23/76	Banko			
	DM	4,134,406	1/16/79	Iglesias			
	DN	4,476,862	10/16/84	Pao			
	V DO	4,651,734	3/24/87	Doss et al.			·
	DP	4,815,462	3/28/89	Clark			
,	DQ	4,955,882	9/11/90	Hakky .			
	DR	5,085,657	2/4/92	Ben-Simhon			
	DS	5,098,430	3/24/92	Fleenor			
,	DT	5,186,181	2/16/93	Franconi et al.		·	
	D U	5,192,267	3/9/93	Shapira et al.			
	DV	5,308,311	5/3/94	Eggers et al.			
	D W	5,342,357	8/30/94	Nardella			
	DX	5,352,868	10/4/94	Denen et al.			
	DY	5,354,331	10/11/94	Schachar		·	
₩ v	DZ	5,364,395	11/15/94	West, Jr.		<u> </u>	

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Sheet <u>5</u> of <u>8</u>

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 14170-037002	Application No. 09/884,859	
	closure Statement	Applicant Hugh R. Sharkey et al.		
(Use several si	neets if necessary)	Filing Date June 18, 2001	Group Art Unit 3739	

RIGHT	EA	5,366,490	11/22/94	Edwards et al.	
	EB	5,382,247	1/17/95	Cimino et al.	
	/ EC	5,401,272	3/28/95	Perkins	
	/ ED	5,437,662	8/1/95	Nardella	
	EE	5,451,223	9/19/95	Ben-Simhon	
	/ EF	5,524,338	6/11/96	Martyniuk et al.	
J	/ EG	5,630,839	5/20/97	Corbett, III, et al.	
	, EH	5,681,282	10/28/97	Eggers et al.	
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	EK	5,697,909	12/16/97	Eggers et al.	
	EL	4,074,718	2/21/78	Morrison, Jr.	
	EM	5,085,659	2/4/92	Rydell	
4	EN	5,464,023	.11/7/95	Viera	

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Examiner Initial	Desig.	Document Number	Publication Date	Country or Patent Office	Class	Sub clas s	Transla Yes	tion No
RIVO.	EO	0 682 910	11/22/95	EPO				
	/ EP	0 274 705	7/20/88	EPO				
	EQ	0 729 730	4/9/96	ЕРО				
	ER	0 521 595	1/7/93	ЕРО				
	ES	0 737 487	10/16/96	ЕРО				
	ET	0 257 116	3/2/88	EPO		·		
ν	EU	0 479 482 A1	4/8/92	EPO				
	EV	0 479 482 B1	5/1/96	EPO				
	EW	0 542 412	5/19/93	EPO				
	EX	0 572 131	12/1/93	EPO				
· ·	EY	0 558 297	9/1/93	EPO				
1/	EZ	0 566 450	10/20/93	EPO			Abstract 'r'es	

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Sheet <u>6</u> of <u>8</u>

Substitute Form PTO-1449 (Madified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14170-037002	Application No. 09/884,859	
1	closure Statement	Applicant Hugh R. Sharkey et al.		
(Use several sheets if necessary)		Filing Date June 18, 2001	Group Art Unit 3739	

R	981	FA	0 783 903	7/16/97	EPO	Abstract Yes
	. ,/	FB	35 11 107	10/2/86	Germany .	
	~	FC	2,164,473	3/19/86	UK .	
	7	' FD	637118	12/15/78	USSR	Abstract Yes
	7	FE	1,340,451	12/12/73	UK .	
	1	FF	WO 96/34568	7/11/96	WIPO	
	1	FG	WO 85/02762	7/4/85	WIPO	Abstract Yes
	1	FH	WO 93/01774	2/4/93	WIPO .	Abstract Yes
Ī	1	FI	WO 95/20360	8/3/95	WIPO	
	7	FJ	WO 95/30373	11/16/95	WIPO	
	V	' FK	WO 92/10142	6/25/92	WIPO	
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	7	FS	WO 93/16648	9/2/93	WIPO	·
		F T	WO 95/25471	9/28/95	WIPO	
	J	, FU	WO 95/30377	. 11/16/95	WIPO	
	J	FV	WO 95/34259	12/21/95	WIPO	
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		/ FY	WO 96/35471	11/7/96	WIPO	
	, ,	FZ	36 32 197	3/31/88	Germany	Abstract Yes

Examiner Signature (Kon D. Hillian)	Date Considered Mar. 52004
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Sheet _7_ of _8_

Substitute Form PTO-1449 (Modified)	·		Application No. 09/884,359	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hugh R. Sharkey et al.		
		Filing Date June 18, 2001	Group Art "Init 3739	

RDY & GA	5-42166	2/23/93	Japan	A.bstract Yes
ROJ V GB	2,645,008	3/28/89	France	Abstract Yes

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	GE	Beadling, L., "Bi-Polar Electrosurgical Devices: Sculpting the Future of Arthroscopy," Orthopedics Today, Vol. 7, No. 1, 4 pages
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Sheet <u>8</u> of <u>8</u>

(Modified) Patent and Trademark Office Information Disclosure Statement by Applicant (Use several sheets if necessary)		Attorney's Docket No. 14170-037002	Application No. 09/884, §59
		Applicant Hugh R. Sharkey et al.	
		Filing Date June 18, 2001	Group Art Unit 3739

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 +		-	Sminia P et al "Effects of 434 MHz Microwave Hypothermia Applied to the Rat in the Region of
1 +	• •	HV	the Cervical Spinal Cord," Int. J. Hyperthermia, Vo. 3, No. 5, 1987, pp. 441-452
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Examiner Signature	Date Considered
(V- 8) H. harm	Mor. 5, 2004
EXAMINER: Initially distation considered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with
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